



## CONFIDENTIAL INFORMATION REQUEST

### DOCUMENTS

#### Account Statements

- brokerage and other investment account statements
- retirement plan statements (401(k)s, pension plans, IRAs)
- college savings plans (529s, Coverdell accounts, etc.)
- annuity and/or permanent life insurance statements
- money market statements
- checking, savings, & other cash account statements

#### Liability Statements

- mortgage statement
- credit card statements (if you carry a monthly balance)
- student loan statements
- other loan statements

#### Tax Returns (last three years)

#### Brochures and/or Statements of Employee Benefits

#### Recent Paycheck Stub

#### Insurance Policies (declaration pages are sufficient)

- Life
- Disability
- Home
- Auto
- Liability
- Long Term Care

#### Wills, Trust Agreements, Powers of Attorney

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204 South Abingdon Street, Arlington VA 22204

[www.lodestarm.com](http://www.lodestarm.com)

571.249.5536



**PERSONAL INFORMATION**

	Client 1	Client 2
Full Name		
Date of Birth		
Relationship Status		
Social Security #		
Home Address		
Home Phone		
Work Phone		
Mobile Phone		
Email 1		
Fax / Email 2 / Other		
Preferred Contact		
Occupation		
Employer / Years		
Degrees		
Schools		
Compensation		
Benefit Plans		
Special Interests		

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Dependent Name	Social Security #	Date of Birth	Relationship

List & briefly describe your financial goals in order of importance to you:


What age do you intend to slow down? What age do you intend to retire?

Client 1:	Client 2:
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Have you recently experienced or do you foresee any life altering experiences in the near future?

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Do you or any of your dependents have special needs? If so, describe briefly.

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Do you have any key advisors?	
Name:	Contact:
Name:	Contact:
Name:	Contact:

**OTHER ASSETS & LIABILITIES**

Real Estate	Property 1	Property 2	Property 3
Name / Address			
Current Market Value			
Purchase Price			
Original Loan Amount			
Date of Loan			
Term (Years)			
Type (Fixed, ARM, etc.)			
Current Loan Balance			
Interest Rate			
How Titled			
Home / Rent / Vacation			
HELOC / 2 <sup>nd</sup> Mortgage			
Significant Renovations?			

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	Automobile 1	Automobile 2	Automobile 3
Make & Model			
How Titled			
Current Value (optional)			
List any additional assets not listed above or on a statement that you have provided. May include jewelry, coins, precious metals, antiques, warrants, royalties, annuities, personal loans, interest in a privately held business, & other valuables.			
List any additional liabilities (personal loans, legal judgment, etc.) not listed above or on a statement that you have provided.			
Do you anticipate any inheritance or financial assistance that may affect your financial plan?			
What else should I know?			

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